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PTO/SB/08A (08-00)

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number  
Filing Date January 10, 2002  
First Named Inventor Lee, Peter P.  
Group Art Unit  
Examiner Name  
Attorney Docket Number 016976-000220US

1c971 U.S. PTO  
10/043689  
01/10/02

## U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
SF	AA	5,348,867		Georgiou, et al.	09/20/1994	435/69.7
	AB	5,531,988		Paul	07/02/1996	424/93.4
	AC	5,733,540		Lee	03/31/1998	424/93.1
SF	AD	6,074,636		Nichols	06/13/2000	424/85.2
	AE					
	AF					
	AG					
	AH					
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	AJ					
	AK					
	AL					
	AM					
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## FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	BA	WO	93/18163		THE ROCKEFELLER UNIVERSITY	09-16-1993		
	BB							
	Bc							
	BD							

Examiner Signature

*Sharon Felt*

Date Considered

5/28/4

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant

<sup>1</sup> Unique citation designation number <sup>2</sup> See attached Kinds of U.S. Patent Documents <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached

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SF 1306507 v1

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PTO/SB/08B (08-00)

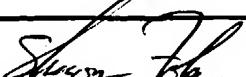


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Substitute for form 1449B/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	
		Filing Date	January 10, 2002
		First Named Inventor	Lee, Peter P.
		Group Art Unit	
		Examiner Name	
Sheet <b>2</b>	of <b>2</b>	Attorney Docket Number	016976-000220US

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
SF	01	Marshall, E., "Gene Therapy's Growing Pains," <i>Science</i> , 269:1050-1055 (25 August 1995).	
	02	Lidbeck, A., <i>et al.</i> , "Lactobacilli in Relation to Human Ecology and Antimicrobial Therapy," 1991 Bioscience Ediprint, Inc., <i>Int. J. Tiss. Reac. XIII(2):115-122</i> (1991).	
	03	Bouhnik, Y., <i>et al.</i> , "Fecal Recovery in Humans of Viable Bifidobacterium sp Ingested in Fermented Milk," 1992 by the American Gastroenterological Association, <i>Gastroenterology</i> , 102:875-878 (1992).	
	04	Pochart, P., <i>et al.</i> , "Survival of bifidobacteria ingested via fermented milk during their passage through the human small intestine: an in vivo study using intestinal perfusion <sup>1-4</sup> ," 1992 American Society for Clinical Nutrition, <i>Am J Clin Nutr</i> 55:78-80 (1992).	
	05	Laulund, S., "Commercial Aspects of Formulation, Production and Marketing of Probiotic Products," Human Health: The Contribution of Microorganisms Formulation, Production and Marketing of Probiotic Products, Chapter 10, 159-173 (1994).	
	06	Eko <i>et al.</i> , "New strategies for combination vaccines based on the extended recombinant bacterial ghost system," <i>Vaccine</i> . Vol. 17, pp. 1643-1649 (March 1999)	
	07	Baba <i>et al.</i> , "Target cell specificity of a bacteriocin molecule: a C-terminal signal directs lysostaphin to the cell wall of Staphylococcus aureus." <i>The EMBO Journal</i> . Vol. 15 No. 18, pp. 4789-4797 (1996).	
SF	08	Braun <i>et al.</i> , "The InlB protein of <i>Listeria monocytogenes</i> is sufficient to promote entry into mammalian cells." <i>Molecular Microbiology</i> . Vol. 27. No. 5, pp. 1077-1087 (1998).	
	09		
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Examiner Signature		Date Considered	5/28/4
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